(12)

# **EUROPEAN PATENT SPECIFICATION**

- (45) Date of publication and mention of the grant of the patent:22.01.1997 Bulletin 1997/04
- (51) Int Cl.6: C08L 57/10, C08J 3/24

- (21) Application number: 91311292.6
- (22) Date of filing: 04.12.1991
- (54) Air curing polymer composition Lufthärtende Polymerzusammensetzung Composition de polymère durcissante à l'air
- (84) Designated Contracting States:
  AT BE CH DE DK ES FR GB GR IT LI LU NL SE
- (30) Priority: 21.12.1990 US 632302
- (43) Date of publication of application: 01.07.1992 Bulletin 1992/27
- (73) Proprietor: ROHM AND HAAS COMPANY Philadelphia Pennsylvania 19105 (US)
- (72) Inventors:
  - Bors, Daniel Arthur
     Warminster, Pennsylvania 18974 (US)

- Lavoie, Alvin Charles
   Lansdale, Pennsylvania 19446 (US)
- Emmons, William David
   Huntingdon Vailey, Pennsylvania 19006 (US)
- (74) Representative: Angell, David Whilton et al ROHM AND HAAS (UK) LTD. European Operations Patent Department Lennig House 2 Mason's Avenue Croydon CR9 3NB (GB)
- (56) References cited: GB-A- 1 185 216

P 0 492 847 B1

Note: Within nine months from the publication of the mention of the grant of the European patent, any person may give notice to the European Patent Office of opposition to the European patent granted. Notice of opposition shall be filed in a written reasoned statement. It shall not be deemed to have been filed until the opposition fee has been paid. (Art. 99(1) European Patent Convention).

#### Description

5

10

15

20

25

30

35

40

45

The present invention relates to an air curing polymer composition. In particular, the present invention relates to vinyl polymers having improved film forming properties.

Film forming polymers are used in many applications such as, for example, coatings, sealants, and adhesive and saturant applications. The most useful applications include their use as solutions or dispersions in water or water-cosolvent mixtures.

Films derived from film forming polymers can be greatly improved if they can be crosslinked after film formation. Typical properties which can be generally enhanced by crosslinking include solvent resistance, dirt pickup, adhesion, print and block, mar resistance and tensile properties.

However, it is not easy to achieve crosslinking after film formation. For example, Spoor [Spoor, Die Angewandte Makromolekulare Chemie 38, 160 (1968)] describes the potential utility of films derived from vinyl polymers containing pendant acetoacetate groups when crosslinked by exposure to air preferably in the presence of cobalt salts. However, this particular technology is inadequate because the free radical flux generated by the metal salt is insufficient to cure the polymeric films fast enough to be practical at room temperature.

Furthermore, if the crosslinking mechanism is inoperative after film formation is complete, the film formation process from an aqueous dispersion or even a solvent will be compromised. The film will then be weak and porous. Also, the protective or adhesive functions of the polymeric film will be severely impaired.

Frequently, heat can be used to assist crosslinking after film formation is complete. However this has its drawbacks since, as can be expected, heat is not available in many cases so the crosslinking mechanism is desirably triggered under ambient conditions after film formation is complete. Sometimes this triggering can be accomplished by mixing two reactive components together prior to film formation. However, there are problems associated with this approach because these two component systems not only usually have a short pot life but they are also awkward and impractical to use in many applications.

GB 1185216A discloses an air curing coating composition comprising vinyl polymers containing acetoacetate functionality and peroxides. The peroxides act to crosslink the composition, and are added immediately prior application of the coating.

There is another drawback with regard to the use of vinyl polymers containing pendant acetoacetate groups, particularly when the polymers are dispersed or dissolved in aqueous solvents. In this regard, vinyl polymers containing pendant acetoacetate are prone to hydrolysis in water particularly on heat aging. The hydrolysis reaction, which is shown below, occurs at nearly any pH and yields acetoacetic acid.

which in turn decomposes to acetone and carbon dioxide.

The present invention seeks to overcome the problems associated with the known film forming polymers.

According to a first aspect of the present invention there is provided a self-crosslinking film-forming composition comprising a vinyl polymer containing pendant acetoacetate functionality and a polyunsaturated material, optionally incorporated into said vinyl polymer, which generates a free radical flux on exposure to oxygen, such as oxygen in the air.

According to a second aspect of the present invention there is provided a one part pack comprising the composition according to the first aspect of the present invention stored in a sealed container in the substantial absence of oxygen.

The vinyl polymers for use in the composition according to the present invention are vinyl polymers with pendant acetoacetate groups. However, the term "pendant" is used in the specification to mean "attached to the polymer backbone and available for further reaction" and pendant should not be read in the strict sense which would exclude the attachment of such groups at the termini of a polymer chain. Thus, polymer having acetoacetate functionality introduced on the chain end by an acetoacetate functional mercaptan as taught in US-A- 4,960,924 would be useful in this invention.

The present invention provides a film forming polymer which has a cross linking mechanism that is operative after film formation is complete, thereby overcoming the problems associated with the known film forming polymers.

Preferably, the film-forming composition comprises a metal drier.

Advantageously, the metal drier is incorporated in an amount of from 0.01 to 1% metal content by weight. Advantageously, the metal drier is a cobalt salt.

Preferably, the metal drier is complexed to a complexing agent that prevents substantial reaction in sealed containers in substantial absence of oxygen but does not prevent reaction in films. Typically, the complexing agent may be an oxime.

Preferably, the vinyl polymer containing pendant acetoacetate functionality is soluble in water or water-co-solvent mixtures. Advantageously, the vinyl polymer containing pendant acetoacetate functionality is an emulsion or dispersion polymer.

Preferably, all the pendant acetoacetate functionality has been converted to pendant enamine functionality, more preferably by reaction with a molar excess of ammonia or a primary amine capable of enamine formation. Advantageously, the primary amine is ethanolamine, methyl amine, or isopropylamine or a mixture thereof.

Preferably, the pendant acetoacetate is incorporated in the vinyl polymer by polymerization of a monomer selected from the group consisting of allyl acetoacetate, acetoacetoxyethyl methacrylate, acetoacetoxypropyl methacrylate, and acetoacetoxybutyl methacrylate.

Preferably, the vinyl polymer contains from about 0.5 to 100 percent, more preferably 1 to 40 percent, of the acetoacetate monomer by weight.

Preferably, the vinyl polymer is a solution polymer in non-aqueous organic solvent.

Preferably, the vinyl acetoacetate polymer is a blend of a water soluble acetoacetate polymer and an emulsion or dispersion acetoacetate polymer.

Preferably, the vinyl polymer is a homopolymer or copolymer of acetoacetoxyethyl methacrylate or acrylate. Preferably, the polyunsaturated material is selected from the group consisting of drying oils, unsaturated fatty acids, isoprenes, alkyds containing drying oils, esters of unsaturated fatty acids, allyl ether functional materials, allyl functional materials, polyallyl ethers and alkyd functional soaps, including mixtures thereof.

Preferably, if the polyunsaturated material is an unsaturated fatty acid, it is present in amount of from about 0.5 to about 6 wt% of the composition.

Preferably, if the polyunsaturated material is a drying oil, it is present in the amount of from about 0.5 to about 15 percent of the composition.

Advantageously, the polyunsaturated material is a polyallyl ether, isoprene butadiene, crotyl methacrylate, or allyl methacrylate.

Preferably, the polyunsaturated material is incorporated into the vinyl polymer.

Advantageously, if the polyunsaturated material is isoprene, it is incorporated into the vinyl polymer in an amount of from 1 to 25%.

The present invention therefore provides an ambient curing, self-crosslinking film forming polymer which may be used in many applications. The self-crosslinking film forming polymer of the present invention not only overcomes the problems associated with the known polymers but also meets the requirements of industry. Futhermore, the polymer of the present invention does not impair the quality of film formation.

In summation, the present invention relates to a film forming composition which comprises a vinyl polymer having pendant acetoacetate functionality which is cured by a free radical flux generated after film formation is largely complete. This is based on a polyunsaturated material which provides a source of free radicals to cure and crosslink the acetoacetate functionality upon exposure to oxygen of the air. The polyunsaturated material can be built into the vinyl polymer or it can be added as a separate additive.

According to one aspect of the present invention, there is provided a one package ambient curing film forming polymer. The film formation process is well known in the coatings industry and is described in detail by J. Bentley ("Organic Film Formers" in <u>Paint and Surface Coatings</u>, R. Lambourne (Editor), John Wiley and Sons, New York, N.Y. 1987). In some cases, however, this technology may be useful as a two package system, preferably one with long pot life at ambient temperatures. When desirable, heat may be used for acceleration of cure.

Generally, the pendant acetoacetate groups are attached to the polymer backbone via an organic divalent radical R<sup>1</sup> which in turn is attached to the acetoacetate moiety or by a trivalent organic radical R<sup>2</sup> bearing two acetoacetate groups.

55

50

5

10

15

20

25

30

35

40

The acetoacetate functional polymers can be prepared by means known in the art. A preferred method is polymerization through incorporation which includes an acetoacetate functional monomer. A preferred monomer is acetoacetoxyethyl methacrylate which is conveniently referred to throughout this specification as AAEM, the structure of which is shown below.

# AAEM

25

55

5

10

15

20

Examples of other monomers useful for the introduction of acetoacetate functionality are acetoacetoxyethyl acrylate, acetoacetoxypropyl methacrylate, allyl acetoacetate, acetoacetoxybutyl methacrylate and 2,3-di(acetoacetoxy) propyl methacrylate.

In general, any polymerizable hydroxy functional monomer can be converted to the corresponding acetoacetate by reaction with diketene or other suitable acetoacetylating agent (See e.g. <u>Comparison of Methods for the Preparation of Acetoacetylated Coating Resins</u>, Witzeman, J. S.; Dell Nottingham, W.; Del Rector, F. J. Coatings Technology; Vol. 62, 1990, 101. (and references contained therein)).

The vinyl polymers of this invention are most often copolymers of the acetoacetate functional monomer and other monomers. Examples of useful comonomers are simple olefins such as ethylene, alkyl acrylates and methacrylates where the alkyl group has 1 to 20 carbon atoms (more preferably 1 to 8 carbon atoms), vinyl acetate, acrylic acid, methacrylic acid, acrylonitrile, styrene, isobornyl methacrylate, acrylamide, hydroxyethyl acrylate and methacrylate, hydroxypropyl methacrylate and acrylate, N-vinyl pyrolidinone, butadiene, isoprene, vinyl halides such as vinyl chloride and vinylidene chloride, alkyl maleates, alkyl fumarates, fumaric acid, maleic acid and itaconic acid.

It is also possible and sometimes desirable to include low levels of divinyl or polyvinyl monomers such as glycol polyacrylates, allyl methacrylate, divinyl benzene, etc. to introduce a controlled amount of gel in the latex particle. It is important, however, to be sure that when this is done, the quality of the film formation is not seriously impaired.

The acetoacetate functional polymer may contain from about 0.5% to 100% of the acetoacetate functional monomer by weight. In any application, the amount of acetoacetate functional monomer required will vary from case to case depending upon the desired degree of cure necessary in the particular end use application. Generally, however, the acetoacetate monomer concentration will be between 1 and 40%. Conventional coatings will usually contain from 2.5 to 20% acetoacetate monomer by weight.

Polymers having a molecular weight of from 1000 to over one million can be used. The lower molecular weight polymers should contain a sufficiently high level of acetoacetate to maximize cure. For example, a copolymer of AAEM having a molecular weight under 10,000 would typically contain 30% or more of AAEM.

Generally, the vinyl polymer is prepared as a dispersion or emulsion polymer in water by a suitable free radical initiated polymerization technique, using a free radical initiator and appropriate heating. Since a film forming polymer is required, useful emulsion polymers will generally have glass transition temperatures under 60°C since these polymers with coalescent will form good quality films at ambient temperatures. If soluble polymers are used in the film formation process, polymers of higher glass transition temperature are readily used since they are film-forming.

In certain aspects of the invention, polymerization in an aqueous medium, and in particular, aqueous emulsion polymerization, is used to prepare the polymer.

Conventional dispersants can be used (e.g. anionic and/or nonionic emulsifiers such as alkali or ammonium alkyl sulfates, alkyl sulfonic acids, and fatty acids, oxyethylated alkyl phenols, etc.) The amount of dispersant used is usually

0.1 to 6% by weight based on the weight of total monomer. Either thermal or redox initiation processes may be used. Conventional free radical initiators may be used (hydrogen peroxide, t-butyl hydroperoxide, ammonium and/or alkali persulfates, etc.); typically 0.05 to 3.0 % by weight based on the weight of total monomer.

Redox systems using the same initiators coupled with a suitable reductant (for example: isoascorbic acid, sodium bisulfite) can be used at similar levels. Frequently, a low level of chain transfer agent such as a mercaptan (for example: <u>n</u>-octyl mercaptan, <u>n</u>-dodecyl mercaptan, butyl or methyl mercaptopropionate, mercaptopropionic acid at 0.05 to 6% by weight based on total weight of monomer) is employed to limit the formation of any significant gel fraction or to control molecular weight.

The invention may also be practiced using a solvent soluble or water soluble polymer. When this is desired, the polymer may be prepared directly in water if the monomer mix is water soluble or, as is most often the case, the polymerization solvent is a water miscible solvent such as isopropanol, butyl cellosolve, propylene glycol, etc. In this case, water may be included in the polymerization mixture or post added after the polymerization is complete.

10

15

20

25

30

35

40

45

50

55

In some cases, the polymer is prepared in a conventional organic solvent such as xylene or toluene. When organic solvent is employed with or without water, it is convenient to use a soluble organic free radical initiator such as azobis-isobutyronitrile, t-butyl-peroctoate, or benzoyl peroxide and whatever heat is convenient to assure smooth copolymerization.

Another route to the preparation of a water soluble polymer for this invention is to prepare a vinyl dispersion polymer having enough acrylic or methacrylic acid or other polymerizable acid monomer (usually greater than 10%) so that the emulsion polymer can be solubilized by addition of ammonia or other base. Water soluble polymers of this type are advantageously used as blends with conventional dispersion polymers, preferably those which also have pendant acetoacetate functionality. Systems of this type form a completely cured matrix when exposed to a free radical flux. The blend of alkali soluble resin and latex polymer has a particularly advantageous property of combining gloss and rheology and is useful in coatings and printing ink applications.

In another embodiment of this invention, an aqueous dispersion contains copolymer particles made up of at least two mutually incompatible copolymers.

These mutually incompatible copolymers may be present in the following morphological configurations, for example, core/shell, core/shell particles with shell phases incompletely encapsulating the core, core/shell particles with a multiplicity of cores and interpenetrating network particles. In all of these cases, the majority of the surface area of the particle will be occupied by at least one outer phase and the interior of the particle will be occupied by at least one inner phase.

The mutual incompatibility of the two polymer compositions may be determined in various ways known in the art. The use of scanning electron microscopy using staining techniques to emphasize the difference between the appearance of the phases, for example, is such a technique.

The emulsion polymerization techniques used to prepare such dispersions are well known in the art. It is sometimes advantageous to introduce some crosslinking or gel structure by the sequential polymerization process in the core via low levels of a crosslinking monomer such as allyl methacrylate. The lightly crosslinked core does not adversely affect film formation and does in some cases result in better coatings, particularly when the pendant acetoacetate is concentrated in the shell.

As indicated above, the major use for this technology is for curing vinyl polymers dispersed or dissolved in aqueous solvents but, unfortunately, vinyl polymers containing pendant acetoacetate are prone to hydrolysis in water particularly on heat aging.

The present invention, however, overcomes this problem by treating the aqueous acetoacetate polymer, after preparation and neutralization, with one molar equivalent of ammonia or a primary amine such as ethanolamine, methyl amine, or isopropyl amine. Typically, the polymer is first neutralized to a basic pH, preferably a pH greater than 9, before adding the one molar equivalent for enamine formation. Under these conditions the enamine is formed. The reaction to form the enamine is generally rapid with the rate of formation increasing with temperature. In general, enamine formation is complete within 24 hours.

An alternative approach is to raise the pH to about 9, allow the system to equilibrate, and readjust the pH to about 9 to replace the amine consumed by enamine formation. The enamine is stable to hydrolysis.

The amount of ammonia or amine used should be at least equivalent to the amount of acetoacetate in the polymer.

Sterically hindered primary amines, such as  $\underline{t}$ -butyl amine and aromatic amines such as aniline, are not suitable because of incomplete enamine formation. The enamine formation is a reversible reaction, so that as the ammonia or amine evaporates after film formation and in exposure to the atmosphere, the pendant acetoacetate is regenerated in the film.

The wet composition is quite storage stable as long as it is stored under conditions (such as a closed container) where the amine/ammonia cannot evaporate. Cure of these films is not impeded by the presence of the ammonia or amine which normally evaporates.

Another approach to preparation of vinyl polymers containing equivalent pendant enamine functionally is to use preformed enamine monomers derived from the appropriate amine and the acetoacetate monomer. In this case, the pH must be kept on the alkaline side during polymerization to avoid hydrolysis of the enamine back to the acetoacetate.

One of the key elements of the technology of the present invention is an aerobic radical source.

The radical flux is generated by a polyunsaturated material on exposure of the polymeric film to oxygen of the air. The polyunsaturated material contains readily abstractable hydrogen atoms which allow it to undergo rapid free radical chain reactions with molecular oxygen.

The polyunsaturated material can be made a component of the composition in various ways. For example, the polyunsaturated material can be directly incorporated into the vinyl polymer by use of appropriate monomers or, more conveniently, an additive package which contains a polyunsaturated material can be added to the polymer after polymerization is complete. Monomers such as butadiene, isoprene, or dicyclopentenyl oxyethyl methacrylate (see, for example, US-A-4,145,503) have residual unsaturation and allylic hydrogen atoms which are known to be subject to autoxidation.

Generally, the autoxidizeable monomer is incorporated into the polymeric binder at a level of 1 to 25 percent by weight.

Preferably, the polyunsaturated material has oxidizibilities as defined by W. C. Lloyd ("Autoxidations" in <u>Methods in Free-Radical Chemistry</u>, E. S. Huyser (Editor), Vol. 4, Marcel Dekkor, New York, 1973) of greater than 1 x 10<sup>-3</sup> (liter/mole-sec)<sup>0.5</sup>.

The polyunsaturated material includes drying oils such as linseed oil, tung oil, dehydrated castor oil; drying oil fatty acids such as linseed oil fatty acid; alkyds containing drying oils; simple esters of drying oil fatty acids such as the ethyl ester of linseed oil fatty acid; sorbic acid and sorbic esters; allyl ethers and polyallyl ethers such as trimethylolpropane triallyl ether, polyallylglycidylether (Santolink XI-100, Monsanto Chemical Co.); and sterically hindered aldehydes or polyaldehydes which autoxidize but do not react with pendant acetoacetate groups present in the polymer. The aldehyde oxygen scavengers described in Novak et al, US-A-4,886,618 are particularly useful in this regard. In general, though, any polyunsaturated material which undergoes autoxidation at ambient temperature can be used as a radical flux for this invention. The amount of polyunsaturated material formulated with the polymer is typically in the range of from 0.5 to 15% by weight with higher levels generally resulting in faster cure.

For some end uses, the amount of polyunsaturated material is limited by compatibility with the polymer since the quality of the film may be adversely affected although cure is still generally observed in these cases.

In order to facilitate the autoxidation process a drier or mixture thereof is typically included in the film-forming composition. This component may be any polyvalent metal containing complex or salt that catalyzes the oxidative curing of drying oils or drying oil modified alkyd resins. Examples are polyvalent metal salts containing cobalt, calcium, manganese, copper, zinc, iron, and zirconium as the cation. Simple salts such as the halides, nitrates, and sulfates may be used but in many cases an organic anion such as the acetate, napthenate, or the acetoacetonate is used for solubility or compatibility reasons.

The amount of drier required is low and is generally in the range of .01 to 1% metal content by weight of the film forming composition. The autoxidation process will take place without drier but it is impractically slow particularly at room temperature.

If a cobalt drier is used and true one pack stability is desired, it may be useful to include a volatile stabilizer such as a ketone oxime or hindered aldehyde oxime. Specific examples are methyl ethyl ketone oxime, methyl butyl ketone oxime, 5-methyl-3-heptanone oxime and cyclohexanone oxime. Methyl ethyl ketone oxime is particularly useful.

The exact function of the oxime in this system is unclear but it is believed to stabilize the cobalt by complex formation. After evaporation of the water or solvent, the volatile oxime is driven off and the drier activity of the cobalt is restored. The oxime stabilizer is typically used at .05 to 1% by weight of the film-forming composition.

The polymers and additives of this invention may be formulated for the chosen end use. Additives such as thickeners, dispersants, pigment, extenders, fillers, anti-freeze agents, plasticizers, adhesion promoters, coalescents, wetting agents, defoamers, colorants, non-aldehyde based biocides, soaps and slip agents may be incorporated to achieve appropriate application and storage properties.

The coatings or adhesives can be readily applied to a variety of substrates by suitable application techniques well known in the art.

The present invention will now be described by way of example only.

For ease, the following abbreviations are used in the following Examples:

6

15

5

10

25

20

35

30

45

40

50

AAEM - acetoacetoxyethyl methacrylate; BA - Butyl acrylate; Co - cobalt; Comp. - Comparative; MAA - Methacrylic acid; MEK - methyl ethyl ketone; MMA - methyl methacrylate; nDDM - n-dodecyl mercaptan; PAGE - polyallylglycidylether (Santolink XI-100, Monsanto); SF - soluble fraction; SR - swell ratio; THF - tetrahydrofuran; mI -milliliters; g - gram; nms - nanometers; UV - ultraviolet; and eq. - equivalent.

All percentages in these examples are percent by weight unless otherwise specified. Unless otherwise indicated all polymers were prepared under nitrogen at atmospheric pressure.

For further ease, the following test procedures were used to generate the data reported in the Examples below:

#### MEK Rub Resistance

10

20

25

30

35

5

Films were constantly soaked with MEK (methyl ethyl ketone). Data were obtained using a crockmeter with a 2 kg weight placed on the arm for a total weight of approximately 3000 g. The test ends when the breakthrough to the panel is first observed. Data are reported as double rubs (one set of back and forth).

# 15 Film Soluble Fraction and Swell Ratio (by weight)

Thin films were cast down on glass slides, a portion of the film was cut and removed from the glass slide. The samples were weighed and then soaked overnight in a container of methyl ethyl ketone. The MEK (methyl ethyl ketone) mixture was filtered to drain unabsorbed MEK solution. The solvent mixture was evaporated in the oven at 150°C for 30 minutes and the residue weighed. The soluble fraction was determined by division.

# Film Swell Ratio by Volume

Thin films were cast down on glass slides and a portion of the film was cut and removed from the glass slide (soaking the glass slide in warm water for a few minutes aids film removal). The film samples were measured in two directions (length and width). The samples were then soaked for 15 minutes in methyl ethyl ketone and remeasured. The increase in each dimension was averaged to yield an average numeric value for linear swell, and the result was then cubed to yield a volumetric swell ratio.

#### Print

Thin films were cast down on black vinyl sheet and cured at ambient temperatures. A layer of cheesecloth was then placed over the film and covered by a rubber stopper that had a surface area of approximately one square inch. A one kilogram weight was placed on top of the stopper. The resulting test sample was then placed in an oven for the reported time at the reported temperature (typically for two hours at 60°C) and then cooled. The print was then rated on a scale of 1 to 10 (best) according to observed ease of removal of the cheesecloth and the depth of the imprint of the film.

#### Block

45

55

40

Thin films were cast down on black vinyl sheet and cured at ambient temperatures. Two films were placed face-to-face and a one kilogram weight was placed on top. The resulting test sample was then placed in an oven typically for two hours at 60°C and then cooled. The block was then rated on a scale of 1 to 10 (best) according to observed ease of separation of the films and film damage upon separation.

# Latex Soluble Fraction and Swell Ratio for Heat Age Testing

The formulated emulsions were subjected to accelerated heat aging by placing them in a sealed container in a 60°C oven for 10 days. Then a sample of the latex was removed and a 1 wt. % solution of the latex in tetrahydrofuran was equilibrated for 24 hours. The latex was then ultracentrifuged at 50,000 rpm for 160 minutes. The soluble fraction was obtained by determining the weight of polymer in the solvent phase divided by the total weight of polymer.

The swell ratio was obtained by determining the weight of the undissolved gel after the solvent phase was removed and dividing the result by the weight of the polymer contained in that gel.

#### EXAMPLE 1

Polymer 1 was prepared from a monomer mixture that contained 501.7 grams of water, 18.13 grams of Alipal CO-436 (an ammonium salt of sulfated nonylphenoxypoly (ethyleneoxy) ethanol; GAF Corp.), 672.3 grams of butyl acrylate,

652.9 grams of methyl methacrylate, 19.4 grams of methacrylic acid, 149.4 grams of acetoacetoxyethyl methacrylate and 3.0 grams of n-dodecyl mercaptan.

From this monomer emulsion mixture, 47.2 grams was removed and added to a kettle containing a mixture of 1317.9 grams of water and 8.74 grams of Alipal CO-436 heated to 85°C.

An initiator charge of 2.26 grams of sodium persulfate dissolved in 50.0 grams of water was then added.

Ten minutes later, the remaining monomer emulsion was gradually added over a two and one-half hour period along with 1.13 grams of sodium persulfate dissolved in 50 grams of water in a separate feed.

After a two hour period, the emulsion was cooled to 60°C and chased by adding 0.75 gram of t-butyl hydroperoxide dissolved in 12.5 grams of water followed by 0.45 grams of isoascorbic acid dissolved in 12.5 grams of water. The chase procedure was repeated 25 minutes later. The latex was then cooled to ambient temperature.

The latex was first neutralized with ammonia to pH=9.5. Then an additional one equivalent of ammonia (1.18 grams of a 28% solution of ammonium hydroxide) was added to 100 grams of the latex to convert all the acetoacetoxyethyl methacrylate to the corresponding enamine.

To this latex was added 0.45 grams of Triton X-405 (a 70 % aqueous solution of polyethoxylated nonylphenol, Union Carbide Corp.), and 0.2 grams of Foamaster VL (defoamer; Diamond Shamrock Chemical Co). The latex mixture was equilibrated overnight.

An additives package consisting of 4.5 grams of propylene glycol, 4.5 grams of diisopropyladipate, 0.48 grams of Triton X-405, 5.62 grams of a 2% aqueous solution of Natrosol 250 MHR (hydroxyethylcellu-lose; Hercules Inc.), 0.75 grams of cobalt as noted (Intercar, a 6% water dispersible solution; Akzo), 0.21 grams of methyl ethyl ketone oxime and 1.25 grams (unless otherwise noted) of the autoxidizable component listed in the table below was mixed and the latex mixture was added to it. This formulated mixture was equilibrated for three days prior to film application.

Thin films were drawn down on B-1000 steel panels and glass slides and black vinyl sheet using a .381 mm (15 mil) gate to give dried films in the thickness range of .508 mm-76.2 mm (2-3 mils). Films were cured under ambient conditions on the laboratory benchtop for the duration of the tests. To test long term stability, a portion of the latex formulation was heated at 60°C for 10 days before films were applied and then tested after 28 days at ambient conditions.

Film	Ambient Cure Time:	7 days	28 days	28 days (Heat Aged Latex)
Comp. A	No cobalt <sup>1</sup>	dissolves	dissolves	16.9
Comp. B	cobalt <sup>2</sup>	18.4	12.8	10.7
utoxidizable Ad	ditive			****
D	Linoleic Acid	4.4	3.0	3.5
E	Linolenic Acid	3.7	2.2	3.0
F	Linoleic Acid (Tech)	3.4	2.7	2.9
G	Linseed Oil Fatty Acid	4.6	4.4	3.0
Н	Ethyl linoleate	5.6	3.2	2.9
1	Sorbic Acid		7.4	5.8
J	PAGE	6.9	3.9	3.7
K	PAGE (15 wt. %) <sup>3</sup>	4.0	1.9	2.1

no cobalt or autoxidizable component in the formulation

The samples according to the invention (C through K) showed improved degree of cure as indicated by Film Swell Ratio data over Comparative A, the latex alone, and Comparative B, the latex with cobalt.

55

5

10

15

20

30

35

40

45

<sup>&</sup>lt;sup>2</sup>no autoxidizable component in the formulation

<sup>&</sup>lt;sup>3</sup>An additional 6.25 grams of PAGE (polyallylglycidylether, Santolink XI-100; Monsanto) was added as the autoxidizable component

EP 0 492 847 B1

Film Prope	Film Properties after 28 day cure under ambient conditions							
Film	MEK Rubs		Print Rating <sup>4</sup> (best=10)	Block Rating <sup>5</sup> (best=10)				
	Fresh latex	Heat-aged latex						
Comp. A	10	13	1	2				
Comp. B	28	45	3	5				
D	178	81	7	7				
E	148	125	7	7				
F	145	65	8	7				
G	170	106	7	7				
Н	197	113	7	7				
1	100	100	6	5				
J	243	266	5	2				
К	500	350	6	8				

<sup>4</sup>Test conditions: 2h @ 60°C

<sup>5</sup>Test conditions: 2h @ 100°C

The Comparative Examples (A and B) show little cure in 7 days (as measured by swell ratio, solvent resistance and print and block). In contrast, when a low level of an autoxidizable additive such as linoleic acid (Film F) is incorporated, the 7 day cure is much better and the associated properties are in a practical range.

# 25 EXAMPLE 2

The Polymer 1 latex was formulated in the same fashion as in Example 1 except that the autoxidizable component was linoleic acid and was added at different levels according to the table below. Films were applied and cured as stated in Example 1.

# Film Swell Ratios

5	Film	Ambient Cure Time:	7 days	28 days (Hea	28 days	)
10	L	<u>Linoleic Acid Level</u> 0.42 g	9.1	5.1	4.8	
15	M	0.84 g	4.0	3.2	4.1	
20	N	1.25 g	3.8	3.1	3.1	
	0	1.67 g	4.0	2.7	3.2	
25	P	2.09 g	5.2	1.9	2.5	
30	Q	2.50 g	3.5	1.6	2.5	

40 contd.

# Film Properties after 28 day cure under ambient conditions

5	Film	MEK 1	Rubs	Print Rating	Block Rating
		Fresh latex	Heat-aged latex	(best=10)	(best=10)
10	_				
	L	187	157	6	7
	M	187	182	7 .	7
15	N	160	147	8	7
	0	120	79	8	7
	P	130	45	8	7
20	Q	88	53	9	7

The data indicate that, in accordance with the present invention, higher amounts of linoleic acid improve the print rating but reduce solvent resistance as shown by MEK rubs.

# **EXAMPLE 3**

25

30

35

40

Five Polymers labeled 2, 3, 4, 5 and 6, containing varying amounts of AAEM monomer were prepared (0%, 1%, 5%, 10%, 20%). By way of example, the preparation of Polymer 5 is set forth completely below.

Polymer 5 was prepared from a monomer mixture that contained 525 grams of water, 7.28 grams of a 23% solution of sodium dodecyl benzene sulfonate, 642.6 grams of butyl acrylate, 747.6 grams of methyl methacrylate, 20.4 grams of methacrylic acid, 156.7 grams of acetoacetoxyethyl methacrylate, 3.13 grams of n-dodecyl mercaptan.

From this monomer emulsion mixture, 49.1 grams was removed and added to a kettle containing a mixture of 1268.8 grams of water and 9.84 grams of a 23% solution of sodium dodecyl benzene sulfonate heated to 85°C.

An initiator charge of 2.36 grams of sodium persulfate dissolved in 78.8 grams of water was then added. Ten minutes later, the remaining monomer emulsion was gradually added over a two hour period along with 1.4 grams of sodium persulfate dissolved in 50 grams of water in a separate feed.

After the two hour period, the emulsion was cooled to 60°C at which point 1 gram of t-butyl hydroperoxide dissolved in 13.2 grams of water was added followed by 0.5 grams of isoascorbic acid dissolved in 13.1 grams of water. The latex was then cooled to ambient temperature.

The other four polymers of varying AAEM levels were made by the same procedure using the following monomer compositions:

Polymer 2: 721.0 grams of butyl acrylate, 826.0 grams of methyl methacrylate, 20.4 grams of methacrylic acid, 0 grams of acetoacetoxyethyl methacrylate, 3.13 grams of n-dodecyl mercaptan;

Polymer 3: 713.2 grams of butyl acrylate, 818.2 grams of methyl methacrylate, 20.4 grams of methacrylic acid, 15.9 grams of acetoacetoxyethyl methacrylate, 3.13 grams of n-dodecyl mercaptan;

Polymer 4: 681.8 grams of butyl acrylate, 786.8 grams of methyl methacrylate, 20.4 grams of methacrylic acid; 78.4 grams of acetoacetoxyethyl methacrylate, 3.13 grams of n-dodecyl mercaptan;

Polymer 6: 564.3 grams of butyl acrylate, 669.3 grams of methyl methacrylate, 20.4 grams of methacrylic acid, 313.5 grams of acetoacetoxyethyl methacrylate, 3.13 grams of n-dodecyl mercaptan.

Polymers 2, 3, 4, 5 and 6 were formulated and films (Comparative R and examples of the invention S, T, U and V) made in the manner as explained in Example 1 with the autoxidizable component consisting of 1.25 grams of lineleic acid.

11

45

50

Film	Film Swell Ratios		MEK Rubs		Print <sup>6</sup>	Block
Cure Time:	7 days	28 days	7 days	28 days	28 days	28 days
Comp. R (0% AAEM)	dissolves	dissolves	12	10	1	1
S (1% AAEM)	13.8	11.6	58	75	2	1
T (5% AAEM)	6.9	4.6	130	240	7	3
U (10% AAEM)	5.0	3.3	194	>300	8	9
V (20% AAEM)	2.8	2.6	214	>300	9	9

blest conditions for print and block: 2 hours at 60°C, rating: 10=best

In accordance with the present invention, the addition of AAEM to a polymer that is mixed with linoleic acid additive produces improved performance over the comparative Example which has no AAEM in the polymer mixed with linoleic acid. Higher AAEM levels give better performance.

# **EXAMPLE 4**

5

10

15

20

25

30

35

40

45

50

Polymer 7 was prepared from a monomer mixture that contained 505.6 grams of water, 18.1 grams of a 23% solution of sodium dodecyl benzene sulfonate, 1062.9 grams of butyl acrylate, 540.0 grams of methyl methacrylate, 25.7 grams of methacrylic acid, 85.71 grams of acetoacetoxyethyl methacrylate, 3.42 grams of n-dodecyl mercaptan. Then 40.0 grams of this monomer emulsion mixture was removed and added to a kettle containing a mixture of 1432.7 grams of water and 11.0 grams of a 2.3% solution of sodium dodecyl benzene sulfonate heated to 85°C.

An initiator charge of 2.52 grams of sodium persulfate dissolved in 84.0 grams of water was added. Ten minutes later, the addition of remaining monomer emulsion was begun and continued gradually over a two hour period. After the two hour period, the emulsion was cooled to 60°C and chased by adding 0.8 gram of t-butyl hydroperoxide dissolved in 16 grams of water followed by 0.5 grams of sodium formaldehyde bisulfite dissolved in 16 grams of water. The latex was then cooled to ambient temperature.

A 100 gram sample of the latex was removed and to this was added 2.0 grams of ammonium hydroxide (28% solution), 1.0 gram of Triton X-405 (a 70% aqueous solution, Union Carbide Corp.), 4.5 grams of diisopropyladipate and 4.5 grams of propylene glycol. 10 gram samples of this latex were removed and the materials listed in the Table below were added to each. The latex was equilibrated overnight before films were applied to glass slides using a 8 mil gate. Soluble fractions were determined from the thin films.

Film	Additional Additives	Film Soluble	Fractions in THF	
		1 day	7 day	14 day
Comp. W	None	0.89	0.81	0.58
Comp. X	cobalt, <sup>7</sup> 0.09 g	0.83	0.54	0.34
Y	Co + 0.14 g. tung oil	0.57	0.14	0.13
Z	Co + 0.14 g. oticia oil	0.59	0.14	0.13

<sup>7</sup>Hydrocure II, a 5% water dispersible formulation; Mooney Chemicals

The data for films Y and Z show that drying oils can be used as the autoxidizeable component of the invention.

# **EXAMPLE 5**

Polymer 8 was prepared from a monomer mixture that contained 1479.6 grams of water, 5.1 grams of a 23% solution of sodium dodecyl benzene sulfonate, 1089.4 grams of ethyl acrylate, 667.5 grams of methyl methacrylate, 25.8 grams of methacrylic acid and 198.1 grams of acetoacetoxyethyl methacrylate.

In a kettle containing a mixture of 741 grams of water heated to 85°C was added 21.5 grams of a 45% solids solution containing 100 nm particles of BA/MMA/MAA latex polymer preform.

An initiator charge of 7.6 grams of sodium persulfate dissolved in 25 grams of water was then added. The remaining

monomer emulsion was gradually added over a three and one-half hour period along with 2.0 grams of sodium persulfate dissolved in 100 grams of water in a separate feed.

After one hour of the feeds, an additional soap mixture was added consisting of 5.1 grams of a 23% solution of sodium dodecyl benzene sulfonate dissolved in 9 grams of water. After the feeds were complete, the temperature was held at 85°C for 15 minutes. The latex was then cooled to ambient temperature.

A 100 gram sample of latex was neutralized to pH=9.5 with ammonium hydroxide, allowed to react to form enamine, and then readjusted to pH 9.5 with ammonium hydroxide. To this was added 0.3 grams of Foamaster VL (defoamer; Diamond Shamrock Chemical Company), 10.8 grams of propylene glycol, 4.5 grams of Texanol (a coalescent; Texaco), 0.22 grams of methyl ethyl ketone oxime (Exkin #2; Nuodex Inc.), 1.4 grams of the autoxidative material listed in the Table, and 0.75 grams of cobalt (Intercar, a 6% water dispersible formulation; Akzo). Thin films were drawn down on glass slides using an 203.2 mm (8 mil) gate to give dried films in the thickness range of .0254 mm-38.1 mm (1-1.5 mils). Films were cured under ambient conditions at 70°C and 50% relative humidity for the duration of the tests.

Film	Additive	Thin Film Swell Ratios				
	Cure time:	1 day	7 day	14 day 28 da		
AA	None	16	16	16	16	
AB	Linseed Oil	19	14	12	8.2	
AC	Aroplaz 12718	14	11	8.2	7.9	
AD	Aroplaz 12729	19	9.4	9.2	7.0	

<sup>8100%</sup> linseed based long oil alkyd; Spencer-Kellog

The data for Examples AB, AC and AD demonstrate that linseed oil and alkyds can be used as the autoxidizeable component in the invention and cure better than Comparative AA over time.

#### **EXAMPLE 6**

5

10

15

20

25

30

35

40

50

55

Polymer 9 was prepared from a monomer mixture that contained 524.9 grams of water, 18.98 grams of sodium lauryl sulfate (28% aqueous solution in water), 658.32 grams of butyl acrylate, 728.86 grams of methyl methacrylate, 23.51 grams of methacrylic acid, 156.74 grams of acetoacetoxyethyl methacrylate, 3.13 grams of butyl mercaptopropenate.

A 49.4 gram sample was removed from this monomer emulsion mixture and added to a kettle which contained a mixture of 1268.8 grams of water and 9.15 of sodium lauryl sulfate (28% aqueous solution) heated to 85°C.

An initiator charge of 2.36 grams of sodium persulfate dissolved in 78.75 grams of water was then added. Ten minutes later, the remaining monomer emulsion was gradually added over a two hour period. After the two hour period, the emulsion was cooled to 60°C and chased by adding 0.79 gram of t-butyl hydroperoxide dissolved in 13.13 grams of water followed by 0.47 grams of isoascorbic acid dissolved in 13.13 grams of water. The chase procedure was repeated 20 minutes later. The latex was then cooled to ambient temperature.

The latex was then neutralized with ammonia to pH=9.5. 20 gram samples of the latex were removed and an additional one equivalent of ammonia (0.24 grams of a 28% solution of ammonium hydroxide) was added to convert all the acetoacetoxyethyl methacrylate to the corresponding enamine. To this latex was added 0.27 grams of autoxidizable material. An additives package consisting of 0.9 grams of propylene glycol, 0.9 grams of diisopropyladipate, 1.13 grams of a 2% aqueous solution of Natrosol 250 MHR (hydroxyethylcellulose thickener; Hercules, Inc.) and 0.15 grams of cobalt (Intercar, a 6% water dispersible formulation; Akzo) was mixed and the latex mixture was added to it.

This formulated mixture was equilibrated overnight prior to film application as explained in Example 1.

The data below show that unsaturated nonionic soaps can be used as the autoxidizeable component in the invention.

Film	Autoxidizable Material	MEK Rubs 1 day/28 day	Thin Film Swell Ratio 28 day
AE	Hypermer A-109 <sup>10</sup>	30/62	8
AF	Hypermer A-394	22/119	5.8
AG	Hypermer A-409	23/110	8

<sup>&</sup>lt;sup>10</sup>The Hypermer Series are nonionic, modified unsaturated polyester dispersants of varying hydrophobicity; ICI America, Inc.

<sup>&</sup>lt;sup>9</sup>100% soya based long oil alkyd; Spencer-Kellog

#### (continued)

Film	Autoxidizable Material	MEK Rubs 1 day/28 day	Thin Film Swell Ratio 28 day
Comp. AH	Triton X-405 <sup>11</sup> -Control	21/54	highly swollen gel

A 70% aqueous solution of polyethoxylated nonylphenol; Union Carbide Corporation.

#### **EXAMPLE 7**

5

10

20

25

30

40

45

50

55

Polymer 10 was prepared from a monomer mixture that contained 507.6 grams of water, 19.0 grams of a 23% solution of sodium dodecyl benzene sulfonate, 771.4 grams of butyl acrylate, 831.4 grams of methyl methacrylate, 25.71 grams of methacrylic acid, 85.71 grams of acetoacetoxyethyl methacrylate, 3.42 grams of n-dodecyl mercaptan. 40 grams of this monomer emulsion mixture was removed and added to a kettle containing a mixture of 1406.7 grams of water and 9.0 grams of a 23% solution of sodium dodecyl benzene sulfonate heated to 85°C. An initiator charge of 2.52 grams of sodium persulfate dissolved in 84 grams of water was then added. Ten minutes later, the remaining monomer emulsion was gradually added over a two hour period. After the two hour period, the emulsion was cooled to 60°C and chased by first adding 10.0 grams of a 0.15% solution of iron (II) sulfate in water followed by 0.8 grams of t-butyl hydroperoxide dissolved in 16 grams of water, followed by 0.4 grams of isoascorbic acid dissolved in 16 grams of water.

The chase procedure was repeated 20 minutes later. The latex was then cooled to ambient temperature.

A polymer without AAEM, Comparative Polymer 11, was prepared from a monomer mixture that contained 525 grams of water, 17.1 grams of a 23% solution of sodium dodecyl benzene sulfonate, 705.3 grams of butyl acrylate, 838.5 grams of methyl methacrylate, 23.5 grams of methacrylic acid, 3.13 grams of n-dodecyl mercaptan.

From this monomer emulsion mixture, 49.3 grams was removed and added to a kettle containing a mixture of 1268.8 grams of water and 9.0 of a 2.3% solution of sodium dodecyl benzene sulfonate heated to 85°C.

An initiator charge of 2.36 grams of sodium persulfate dissolved in 78.8 grams of water was then added. Ten minutes later, the remaining monomer emulsion was gradually added over a two hour period.

After the two hour period, the emulsion was cooled to 60°C and chased by first adding 0.79 grams of t-butyl hydroperoxide dissolved in 13.13 grams of water followed by 0.47 grams of isoascorbic acid dissolved in 13.13 grams of water. The chase procedure was repeated 20 minutes later. The latex was then cooled to ambient temperature.

To 100 grams of each latex was added 0.7 grams of Emulphor EL-620 (polyethoxylated castor oil; GAF Corp.), 0.02 grams of t-butyl hydroperoxide, and the pH of the latex was raised to 9.5 with 5 wt. % aqueous solution of potassium hydroxide, then 0.7 grams of a 28% aqueous solution of ammonium hydroxide was added to form enamine.

An additives package consisting of 2.1 grams of propylene glycol, 2.1 grams of diisopropyladipate, 0.2 grams of Emulphor EL-620, 2.1 grams of a 2% aqueous solution of Natrosol 250 MHR (hydroxyethylcellulose thickener; Hercules, Inc.), 0.21 grams of cobalt (Intercar, a 6% water dispersible formulation; Akzo) and if noted below 0.8 grams of Kelsol 3905 (a water dispersible alkyd; NL Chemicals) as the autoxidizable component.

The latex mixture was added to the additives package and the resulting formulated mixture was equilibrated overnight prior to film application which was carried out as in Example 1.

Film	Polymer	Autoxidizable Material	MEK Rubs 1 day/28 day
Comp. Al	Polymer 10	None	20/28
AJ	Polymer 10	Kelsol 3905	26/>300
Comp. AK	Comp. 11	None	22/19
Comp. AL	Comp. 11	Kelsol 3905	19/16

Film AJ shows good cure from the addition of the water dispersible alkyd in contact with AAEM polymer. Comparative AI shows the AAEM polymer alone.

Comparative AK and AL show that the water-dispersible alkyd does not improve the cure of a polymer without acetoacetate functionality.

# **EXAMPLE 9**

Polymer 14 was prepared from a monomer mixture contained 501.7 grams of water, 18.13 grams of Alipal CO-436 (an ammonium salt of sulfated nonylphenoxypoly (ethyleneoxy) ethanol; GAF Corp.), 149.4 grams of acetoace-

toxyethyl methacrylate, 672.3 grams of butyl acrylate, 652.9 grams of methyl methacrylate, 19.42 grams of methacrylic acid and 2.99 grams of n-dodecylmercaptan. 47.2 grams were removed from this emulsion mixture and added to a kettle containing a mixture of 1317.9 grams of water and 8.74 grams of Alipal CO-436 heated to 85°C.

An initiator charge of 2.26 grams of sodium persulfate dissolved in 50 grams of water was then added. Ten minutes later, the remaining monomer emulsion was gradually added over three hours along with 1.13 grams of sodium persulfate dissolved in 50 grams of water in a separate feed for three hours.

After the feeds were completed, the reaction was held at 85°C for 20 minutes, then the emulsion was cooled to 60°C and chased by adding 0.75 gram of t-butyl hydroperoxide dissolved in 12.5 grams of water followed by 0.45 grams of isoascorbic acid dissolved in 12.54 grams of water.

The latex was then cooled to ambient temperature.

The latex that was neutralized to pH=9.5 with ammonium hydroxide, a 100 gram samples was removed and 0.45 grams of Triton X-405 (a 70% aqueous solution, Union Carbide Corp.) was added to the sample.

An additives mixture was prepared consisting of 4.3 grams of propylene glycol, 4.3 grams of diisopropyladipate, 5.3 grams of a 2% solution of Natrosol 250 MHR (hydroxyethylcellu-lose thickener; Hercules, Inc.), 0.7 grams of cobalt (Intercar, a 6% water dispersible cobalt formulation; Akzo), 0.13 grams of methyl ethyl ketone oxime and 0.2 grams of Foamaster AP (defoamer; Diamond Shamrock Chemical Company) along with the autoxidizable additives in the Table below. After 24 hours, the pH of the Polymer 13 emulsion was readjusted to 9.5. The emulsion was added to the additives package with stirring and equilibrated overnight. Films were applied following the procedure in Example 1.

	Film		Film S	well Ratio
L			1 day	28 day
	Comp. AO	None-Control (contains cobalt)	18	11
	' AQ	Boiled Linseed Oil	11	4.5
	AR	Tetraallylpentaerythritol	11	4.0
	AS	Tetraallyloxyethane	8.7	7.2
	AT	2,4,6-triallyloxy-1,3,5-triazine	14	9.4
	AU	Triallyl-1,3,5-triazine- 2,4,6-trione	16	5.1

<sup>12</sup>The dialdehyde is a 70:30 mixture of 2-Oxoimidazoline-1,3-bis(dimethylpropanal) and 2-Oxoimidazoline-1,3-bis (ethylbutylpropanal) as described in Novak, et al., U.S. 4,886,618.

The data for films AP through AU show that aldehydes and allyl functional materials can be used to provide free radicals for the acetoacetate cure, producing improved cure over the Comparative AO film.

#### **EXAMPLE 10**

10

20

25

30

35

40

45

50

55

The polymer emulsions listed in Example 3 (having 0, 1, 5, 10 and 20% AAEM) were formulated as described in Example 1 except that the autoxidizable component was polyallylglycidylether (Santolink XI-100, Monsanto) in the amounts listed in the Table below.

Polymer	PAGE	Film Swell Ratios		MEK Rubs	Print	Blo	ock
	(grams)	fresh	heat-aged	fresh	heat-aged	Rating	Rating
2	0	dissolve	dissolve	12	6	1	1
3	0	13	dissolve	66*	10	2	1
4	0	11	12	101*	40*	2	3
5	0	9.8	12	122*	56*	3	5
6	0	9.3	7.3	189*	100*	4	8
2	1.25	11	10	15	10	1	1
3	1.25	9.1	8.3	>200	201	3	3
4	1.25	3.1	4.3	214	217	6	6
5	1.25	2.7	2.9	250	270	8	8

Notes: \*bottom cure only, surface abrades within 10-20 rubs

(continued)

	Polymer	PAGE	Film Swell Ratios		MEK Rubs	Print	Bk	ock
		(grams)	fresh	heat-aged	fresh	heat-aged	Rating	Rating
	6	1.25	1.6	2.0	>300	>300	8	8
	•							
١	2	3.75	6.2	8.3	72	60	1	1
	3	3.75	4.7	5.7	250	270	4	5
1	4	3.75	2.9	3.2	>300	>300	8	7
	5	3.75	2.6	2.8	>300	>300	9	8
ı	6	3.75	1.6	1.9	>300	>300	9	9
١								
-	2	6.25	4.9	5.2	84	60	3	1
-	3	6.25	3.6	4.3	>300	195	4	6
-	4	6.25	2.8	3.6	>300	>300	7	7
1	5	6.25	2.4	3.0	>300	>300	9	9
	6	6.25	1.6	1.6	>300	>300	9	9

The data show that the compositions according to the invention exhibit improved performance over the comparative examples which are missing an ingredient of the invention (those containing 0 grams PAGE and all those which use Polymer 2, containing 0% AAEM).

#### **EXAMPLE 11**

5

10

15

20

25

40

45

50

55

Polymer 15 was prepared by adding 362 grams of water to a kettle and heating to 85°C. To the heated kettle 1.4 grams of sodium persulfate was added along with 43 grams of 45% solids, 100 nm BA/MMA/MAA latex polymer preform. The reaction was allowed to equilibrate to 85°C. A monomer emulsion was prepared containing 179 grams of water, 5 grams of a 23% solution of sodium dodecyl benzene sulfonate, 369 grams of butyl acrylate, 94 grams of acetoacetoxyethyl methacrylate, and 7.1 grams of methacrylic acid. This monomer emulsion was fed into the above kettle at 1.5 ml per minute for 15 minutes until the addition was completed. Over the same period of time a solution of 0.7 grams of sodium persulfate in 25 ml of water was added to the kettle at 7.4 ml per hour.

When the feeds were completed, the reaction was held at 85°C for 30 minutes then cooled to 65°C and chased by adding 1 gram of a 0.48 % iron sulfate solution followed by 0.15 grams of t-butyl hydroperoxide dissolved in 5 grams of water followed by 0.07 grams of sodium formaldehyde bisulfite dissolved in 5 grams of water. The latex was then cooled to room temperature.

Aliquots of the latex were neutralized as shown in the table below (the equivalents were based on the total moles of acid plus moles of AAEM).

The latex was heat aged for ten days at 60°C. Acetoacetate hydrolysis was determined by <sup>13</sup>C NMR of the latex.

Base	pH Initial	pH Final	% Hydrolysis
None	2.0	2.0	60
Na <sub>2</sub> HPO <sub>4</sub>	6.6	6.6	67
Sodium hydroxide	9.7	7.4	75
ammonium hydroxide (no enamine)	9.5	7.7	79
ammonium hydroxide (1.5 eq.)	9.5	9.5	0
isopropylamine (1 eq)	9.5		0
benzylamine (1 eq)	9.5	. <del>-</del>	0

The data demonstrate that ammonium hydroxide and amine stabilize the acetoacetate functionality against hydrolysis.

# **EXAMPLE 12**

### **EXAMPLE 12**

5

10

15

20

25

30

35

40

45

50

55

Polymer 16 was prepared by adding 1592.9 grams of water and 8.22 grams of a 23% solution of sodium dodecyl benzene sulfonate to a kettle and heating to 85°C. To the heated kettle, 3.02 grams of sodium persulfate was added along with 58.7 grams of the monomer mixture. The reaction was allowed to equilibrate to 85°C. A monomer emulsion was prepared containing 630 grams of water, 9.87 grams of a 23% solution of sodium dodecyl benzene sulfonate, 940.5 grams of butyl acrylate, 818.2 grams of methyl methacrylate, 94 grams of acetoacetoxyethyl methacrylate, and 28.22 grams of methacrylic acid. This monomer emulsion was fed into the above kettle at 4.2 ml per minute for 15 minutes then at 14.9 ml per minute until the addition was completed. Over the same period of time a solution of 0.85 grams of sodium persulfate in 50 ml of water was added to the kettle at 0.28 ml per minute.

When the feeds were completed, the reaction was held at 85°C for 30 minutes then cooled to 65°C and chased by adding 1 gram of a 0.48% aqueous iron sulfate solution followed by 0.54 grams of t-butyl hydroperoxide dissolved in 18.1 grams of water followed by 0.38 grams of isoascorbic acid dissolved in 19.0 grams of water.

The latex was then cooled to room temperature. The latex was neutralized with 1.2 equivalents of ammonium hydroxide based on polymeric acetoacetate.

Very thin films (1.95 microns) were made by spin casting on quartz plates. Since enamine displays a UV absorption maximum at 360 nms, the film was monitored to determine the conversion of enamine functionality to acetoacetate functionality by loss of ammonia from the film which would reduce the signal at 360 nms. The observed decrease in signal after 8 hours at ambient temperatures corresponds to a 40% loss of the enamine.

# **EXAMPLE 13**

Polymer 17 was prepared from a monomer mixture that contained 158.12 grams of water, 5.82 grams of a 23% solution of sodium dodecyl benzene sulfonate, 240.3 grams of butyl acrylate, 258.9 grams of methyl methacrylate, 8.01 grams of methacrylic acid, 26.7 grams of acetoacetoxyethyl methacrylate, 1.06 grams of n-dodecyl mercaptan. From this monomer emulsion mixture, 40 grams was removed and added to a kettle containing a mixture of 428.2 grams of water and 3.42 grams of a 2.3% solution of sodium dodecyl benzene sulfonate heated to 85°C. An initiator charge of 0.78 grams of sodium persulfate dissolved in 26.2 grams of water was added. Ten minutes later, 0.25 grams of sodium carbonate dissolved in ten grams of water was added. Then, the remaining monomer emulsion was gradually added over a two hour period. After the two hour period, the emulsion was cooled to 60°C and chased by adding 0.25 gram of t-butyl hydroperoxide dissolved in 5 grams of water followed by 0.16 grams of isoascorbic acid dissolved in 5 grams of water. The latex was then cooled to ambient temperature.

The latex was first neutralized with ammonia to pH=9.5. Then, to 100 grams of the latex was added an additional one equivalent of ammonia (0.59 grams of a 28% solution of ammonium hydroxide) was added to convert all the acetoacetoxyethyl methacrylate to the corresponding enamine. To this latex was added 0.45 grams of Triton X-405 (a 70 % aqueous solution, Union Carbide Corp.). The latex mixture was equilibrated overnight.

An additives package consisting of 4.5 grams of propylene glycol, 4.5 grams of diisopropyladipate, 0.48 grams of Triton X-405, and 5.62 grams of a 2% aqueous solution of Natrosol 250 MHR (hydroxyethylcellulose thickener; Hercules, Inc.) was mixed with the materials in the table below and the latex mixture was added to it. The formulated mixtures were then aged using accelerated heat-ageing conditions (ten days at 60°C). The soluble fraction of the formulated latex was then determined.

Film	Additional Additives	Soluble Fraction in THF
Comp. AV	None	0.96
AW	Cobalt <sup>13</sup> (0.7 grams)	0.30
AX	Co with methyl ethyl ketone and oxime (0.21 grams)	0.89
AY	Co with linoleic acid (1.3 grams)	0.27
AZ	Co with sorbic acid (1.3 grams)	0.35
BA	Co with linoleic acid and oxime	1.00
ВВ	Co with sorbic acid and oxime	0.94

<sup>13</sup>Intercar, a 6% water dispersible cobalt formulation; Akzo

These data demonstrate the one-pack stability of systems that include an oxime (AX, BA and BB). The lower soluble fraction in AW, AY and AZ shows that reaction occurred in the liquid composition.

#### **EXAMPLE 14**

5

10

15

20

30

40

45

50

55

Polymer 18 was prepared from a monomer mixture that contained 507.6 grams of water, 19.0 grams of a 23% solution of sodium dodecyl benzene sulfonate, 771.4 grams of butyl acrylate, 831.4 grams of methyl methacrylate, 25.7 grams of methacrylic acid, 85.7 grams of acetoacetoxyethyl methacrylate, 3.42 grams of n-dodecyl mercaptan.

From this monomer emulsion mixture, 40 grams was removed and added to a kettle containing a mixture of 1406.7 grams of water and 9.0 grams of a 2.3% solution of sodium dodecyl benzene sulfonate heated to 85°C. An initiator charge of 2.52 grams of sodium persulfate dissolved in 84 grams of water was added. Starting ten minutes later, the remaining monomer emulsion was gradually added over a two hour period.

After the two hour period, the emulsion was cooled to 60°C at which point 10 grams of a 0.15% aqueous solution of iron (II) sulfate, 0.8 gram of t-butyl hydroperoxide dissolved in 16 grams of water was added followed by 0.4 grams of isoascorbic acid dissolved in 16 grams of water.

The latex was then cooled to ambient temperature.

Two-stage Polymer 19 was prepared from two monomer mixtures: the first stage consisting of 70 % of the total monomers contained 318 grams of water, 39.8 grams of Sipon L22 (surfactant, Alcolac Inc.), 509.6 grams of butyl acrylate, 318.4 grams of methyl methacrylate, 4.9 grams of methacrylic acid, 49.0 grams of acetoacetoxyethyl methacrylate and 98 grams of styrene; the second consisting of 30% of the total contained 254 grams of water, 9.8 grams of Sipon L22, 210 grams of butyl acrylate, 42 grams of methyl methacrylate, 84 grams of methacrylic acid, 84 grams of acetoacetoxyethyl methacrylate and 14.1 grams of methyl mercaptopropionic acid. A 35 gram sample of the first monomer emulsion mixture was removed and added to a kettle containing a mixture of 1158 grams of water, 6.7 grams of Sipon L22 and 2.1 grams of sodium acetate heated to 85°C. An initiator charge of 1.1 grams of sodium persulfate dissolved in 50 grams of water was added. Starting ten minutes later, a catalyst feed consisting of 3.2 grams of sodium persulfate dissolved in 100 grams of water was gradually added over a four hour feed time. The remaining first monomer emulsion was gradually added over one hour.

After all the feeds were completed, the reaction was cooled to 60°C at which point 0.8 grams of t-butyl hydroperoxide dissolved in 50 grams of water was added followed by 0.5 grams of isoascorbic acid dissolved in 50 grams of water. The latex was then cooled to ambient temperature.

To 100 grams of each latex were added 0.5 grams of Triton X-405 (a 70% aqueous solution, Union Carbide Corp.), 0.02 grams of t-butyl hydroperoxide and the latex was neutralized to pH=9.5 with ammonium hydroxide (28% aqueous solution). An additives mixture was prepared consisting of 1.5 grams of Triton X-405, 12 grams of diisopropyladipate, 30 grams of a 2% aqueous Natrosol 250 MHR solution (thickener; Hercules, Inc.). The materials listed in the Table below were added to 2.5 grams of the additives mix. The pH of a 15 gram sample of the latex solution was readjusted to 9.5 and then added to the additives. This formulated mixture was equilibrated overnight prior to film application. Thin films were drawn down on B-1000 steel panels using a .381 mm (15 mil) gate to give dried films in the thickness range of .0508 mm-.0762 mm (2-3 mils). Films were cured under ambient conditions on the laboratory benchtop for the duration of the tests.

Film	Polymer	Additives	MEH	( Rubs
			1 day	28 days
BC	18	None	8	. 7
BD	18	Cobalt <sup>14</sup>	20	48
BE	18	Cobalt/Linoleic Acid	28	67
BF	19	None	9	7
BG	19	Cobalt	15	9
вн	19	Cobalt/Linoleic Acid	16	270

14Intercar, a 6% water dispersible cobalt formulation; Akzo

The films according to the present invention, BE and BH, exhibit improved MEK rubs versus the Comparatives (BC, BD, BF and BG).

### **EXAMPLE 15**

Polymer 20 was prepared from a monomer mixture that contained 1100 grams of water, 51.4 grams of sodium lauryl sulfate (28% aqueous solution), 810 grams of butyl acrylate, 360 grams of methacrylic acid, 630 grams of acetoacetoxyethyl methacrylate, 36 grams of butyl mercaptopropionate. From this monomer emulsion mixture, 100 grams was removed and added to a kettle containing a mixture of 780.6 grams of water, 9.0 grams of sodium acetate and 45 grams of sodium lauryl sulfate (28% aqueous solution) heated to 81°C.

An initiator charge of 3.60 grams of sodium persulfate dissolved in 50.0 grams of water was added.

Ten minutes later, the remaining monomer emulsion was gradually added over a three hour period along with 2.70 grams of sodium persulfate dissolved in 50 grams of water in a separate feed. After the three hour period, the emulsion was cooled to 55°C and chased by adding 5.0 grams of a 0.15% aqueous solution of iron (II) sulfate and 1.0 gram of t-butyl hydroperoxide dissolved in 10.0 grams of water followed by 0.5 grams of isoascorbic acid dissolved in 20 grams of water. After 20 minutes, 15.0 grams of 10% hydrogen peroxide in 10.0 grams of water was added. This was repeated 20 minutes later. The latex was then cooled to ambient temperature.

The dispersion was neutralized to pH=9.5 with ammonium hydroxide and diluted to approximately 30% solids. To 50 gram aliquots was added 0.15 grams of cobalt (Nuocure, 10% water dispersible formulation; Nuodex, Inc.) and 0.45 grams of the appropriate unsaturated fatty amine listed in the table below.

Thin films were drawn down on B-1000 steel panels using a .381 mm (15 mil) gate to give dried films in the thickness range of .0508 mm.0762 mm (2-3 mils). Films were cured under ambient conditions on the laboratory benchtop for the duration of the tests.

The results, which are shown below, demonstrate that the cure of a water soluble AAEM-containing polymer with unsaturated fatty amines, examples BJ and BK. The comparative example, film BI, dissolved.

Film	Autoxidizable Additive	Film Swell Ratio
ВІ	None	dissolve
BJ	Soya oil fatty amine <sup>15</sup>	10
BK	Tall oil fatty amine <sup>16</sup>	6.6

16Adogen 115D; Sherex Chemical Co.

16Adogen 151; Sherex Chemical Co.

# **EXAMPLE 17**

Polymer 22 was prepared from a monomer mixture that contained 333 grams of water, 1.2 grams of Alipal CO-436 (an ammonium salt of sulfated nonylphenoxypoly (ethyleneoxy) ethanol; GAF Corp.), 1.0 grams acetic acid, 1.1 grams sodium acetate, 1429 grams of vinyl acetate, 48.1 grams of allyl acetoacetate and 14.3 grams of sodium vinyl sulfonate.

To a kettle containing 700 grams of water heated to 75°C was added 2 grams of acetic acid followed by 40 grams of a 45% solids, 100 nm BA/MMA/MAA latex polymer preform, 0.2 grams of sodium bisulfite dissolved in 10 grams of water and 0.44 grams of sodium persulfate dissolved in ten grams of water and 11.5 grams of a 0.2% aqueous solution of iron (II) sulfate. Two separate catalyst feeds were made from 1.6 grams of t-butyl hydroperoxide, 1.2 grams of sodium persulfate dissolved in 80 grams of water and one with 1.8 grams of sodium bisulfite dissolved in 82 grams of water.

The monomer emulsion and catalysts were then separately added over a two and one-half hour period. The latex was then cooled to ambient temperature. The latex was neutralized to pH=9 with ammonium hydroxide (28% solution).

An additives mix consisting of 1.5 grams of Triton X-405 (a 70 % aqueous solution; Union Carbide Corp.), 6.75 grams of diisopropyladipate, 6.75 grams of propylene glycol, 8.0 grams of a 2% aqueous solution of Natrosol 250 MHR (hydroxyethylcellulose thickener; Hercules) and 7.5 grams of water was added to 150 grams of the latex. After 24 hours, the pH of the mixture was readjusted to 9.5.

To 20 gram aliquots of this formulated material were added the materials listed in the Table below and the final formulations were equilibrated overnight before film application as described in Example 1.

Film	Additional Additives		MEK Rubs			
		1 day	7day	14 day	28 day	
BQ	None	10	20	36	46	
BR	cobalt <sup>19</sup> , 0.13 g	23	33	30	46	

19a 6% water dispersible cobalt formulation; Akzo.

30

10

15

20

25

55

50

#### (continued)

Film	Additional Additives		MEI	K Rubs	
		1 day	7day	14 day	28 day
BS	Co +0.24 g. linoleic acid	17	43	70	85
ВТ	Co +0.24 g. sorbic acid	18	35	>100	>100

These series of experiments demonstrate that vinyl acetate/allyl acetoacetate copolymer can be used in the invention (BS and BT) and produces improved cure over comparative films BQ and BR.

# **EXAMPLE 18**

5

10

20

25

30

35

40

45

50

55

Latex Polymer 12 was prepared from a monomer mixture which contained 501.7 grams of water, 18.13 grams of Alipal CO-436 (an ammonium salt of sulfated nonylphenoxypoly (ethyleneoxy) ethanol; GAF Corp.), 149.4 grams of acetoacetoxyethyl methacrylate, 672.3 grams of butyl acrylate, 652.9 grams of methyl methacrylate, 19.42 grams of methacrylic acid and 2.99 grams of n-dodecylmercaptan. From this monomer emulsion mixture, 47.2 grams was removed and added to a kettle containing a mixture of 1317.9 grams of water and 8.74 grams of Alipal CO-436 and heated to 85°C.

An initiator charge of 2.26 grams of sodium persulfate dissolved in 50 grams of water was then added. Ten minutes later, the remaining monomer emulsion was gradually added over three hours along with 1.13 grams of sodium persulfate dissolved in 50 grams of water in a separate feed for three hours. After the feeds were completed, the reaction was held at 85°C for 20 minutes, then the emulsion was cooled to 60°C and chased by adding 0.75 gram of t-butyl hydroperoxide dissolved in 12.5 grams of water followed by 0.45 grams of isoascorbic acid dissolved in 12.54 grams of water. The latex was then cooled to ambient temperature.

Latex Polymer 23 was made by a similar procedure to Latex Polymer 12 except that the monomers used were 99.3 grams of allyl acetoacetate, 722.47 grams of butyl acrylate, 652.9 grams of methyl methacrylate, 19.42 grams of methacrylic acid and 2.99 grams of n-dodecylmercaptan.

Latex Polymer 24 was made by a similar procedure to Polymer 12 except that the monomers used were 159.2 grams of acetoacetoxypropylmethacrylate, 662.54 grams of butyl acrylate, 652.9 grams of methyl methacrylate, 19.42 grams of methacrylic acid and 2.99 grams of n-dodecylmercaptan.

Formulations were made in an identical manner as in Example 16 with the autoxidizable component consisting of 1.3 grams of linoleic acid.

Film	Polymer	Thin Film Swell Ratio		
		1 day	28 day	
BU	12	13	3.4	
BV	23	14	6.8	
BW	24	12	3.6	

These results demonstrate that the use of the allyl acetoacetate and acetoacetoxypropylmethacrylate in the invention.

#### **EXAMPLE 19**

A polymer was prepared according to the procedure in Example 5, identified below as Polymer 8.

A second Polymer 25 was prepared according to the same procedure from a monomer mixture that contained 1479.6 grams of water, 5.1 grams of a 23% solution of sodium dodecyl benzene sulfonate, 1148.9 grams of ethyl acrylate, 707.2 grams of methyl methacrylate, 25.8 grams of methacrylic acid and 99.0 grams of acetoacetoxyethyl methacrylate.

A third Polymer 26 was prepared from a monomer mixture that contained 1479.6 grams of water, 5.1 grams of a 23% solution of sodium dodecyl benzene sulfonate, 1228 grams of ethyl acrylate, 727 grams of methyl methacrylate and 25.8 grams of methacrylic acid.

To 315.8 grams of latex neutralized with ammonium hydroxide to pH 9.5, was added: 45.6 grams of butyl cellosolve, 4.8 grams of Texanol (coalescent; Texaco), 0.5 grams of Deefo 806-102 (defoamer; Ultra Adhesives), 0.6 grams of BYK 301 (mar aid; BYK-Mallinckrodt), 0.6 grams of Dow Corning Additive #14 (flow and leveling aid; Dow Corning

100 grams was removed and added to a kettle containing a mixture of 1465 grams of water, and 46.9 grams of a 23% solution of sodium dodecyl benzene sulfonate heated to 85°C.

An initiator charge of 1.35 grams of ammonium persulfate dissolved in 20 grams of water was added. Starting ten minutes later, two solutions were gradually fed to the kettle over a 90 minute period; the remaining monomer emulsion in one feed, and 2.0 grams of ammonium persulfate dissolved in 50 grams of water in a separate feed. After a ten minute hold, a second stage monomer mixture consisting of 260 grams of water, 22.82 grams of a 23% solution of sodium dodecyl benzene sulfonate, 422.0 grams of butyl acrylate, 557.8 grams of methyl methacrylate, 189.4 grams acetoacetoxyethyl methacrylate, 31.6 grams of methacrylic acid, 5.0 grams of methylmercaptopropionate and 42.1 grams of a ureido-functional methacrylate was added over a 90 minute period along with 0.9 grams of sodium persulfate dissolved in 50 grams of water in a separate feed. After the feeds were completed, the reaction was held at 85°C for 20 minutes before the emulsion was cooled to 60°C and chased by adding 0.2 gram of t-butyl hydroperoxide dissolved in 10 grams of water followed by 0.5 grams of isoascorbic acid dissolved in 16 grams of water. The latex was then cooled to ambient temperature.

Following the same procedure, a second Polymer 28 was made that contained a second stage monomer mixture consisting of 260 grams of water, 22.82 grams of a 23% solution of sodium dodecyl benzene sulfonate, 536.7 grams of butyl acrylate, 652.4 grams of methyl methacrylate, 31.6 grams of methacrylic acid, 5.0 grams of methyl mercapto-propionate and 42.1 grams of a ureido-functional methacrylate.

A standard paint formulation is as follows, 72 grams of propylene glycol, 13.92 grams of Tamol 731 (a 25% aqueous dispersant solution; Rohm and Haas) 1 gram of Foamaster VL (defoamer; Diamond Shamrock Chemical Co.), 267.64 grams of titanium dioxide (Ti-Pure R-900; Dupont) and 5 grams of water. These are mixed, then ground in a Cowles Dissolver at high speed for 20 minutes and letdown as in the following order: 10 grams of water, 543 grams of the latex (neutralized to pH 9.5 with ammonium hydroxide), 7.3 grams of linoleic acid, 25.0 grams of Texanol (coalescent; Texaco), 10 grams of water, 1.8 grams of Kathon LX (biocide; Rohm and Haas), 1 grams of Foamaster VL, 4.9 grams of Aquacat (a 5% water dispersible cobalt formulation; Ultra Adhesives, Inc.), 4.9 grams of Magnacat (a 5% water dispersible manganese solution; Ultra Adhesives, Inc.), 71 grams of water, 1.6 grams of Acrysol RM-5 (thickener; Rohm and Haas) and 30 grams of RM-1020 (thickener; Rohm and Haas). These mixtures were equilibrated overnight before films were applied and cured at 70°C at 50% relative humidity.

Film	Polymer	Block 28 day	Print 28 day
CI	27 - with AAEM	7	8
င	Comparative 28 - no AAEM	2	4

The acetoacetate functional polymer (CI) showed better block and print performance.

# **EXAMPLE 22**

5

10

30

35

Polymer 29 was prepared from a monomer mixture that contained 192 g of acetoacetoxyethyl methacrylate and 288 g of butyl methacrylate. This was added to a kettle containing 320 g of xylene heated to 105°C over a two hour period along with a separate feed containing 21.6 g of a 70% solution of t-butyl peroctoate. After the feeds were complete, the reaction was held at 105°C for thirty minutes, chased with 2 g of the t-butyl peroctoate solution and held for 30 more minutes before cooling.

A second Polymer 30 was prepared following the same procedure except that the monomer solution contained only 480 g of butyl methacrylate.

To the polymers were added 0.1 wt. % of cobalt (Intercar, a 6% water dispersible formulation; Akzo) and the autoxidizable component listed in the table below. Films were made from these mixtures on glass slides and cured at ambient conditions for seven days. Swell ratios in methyl ethyl ketone were determined.

The compositions in accordance with the present invention show improved performance over the comparative examples which dissolved.

	Film Swell Ratios (7 days)		
Polymer:	30	29	
Additive (wt. %)	Comparatives		
None - Comparative Ethyl linoleate 10%	dissolve dissolve	dissolve 2.5	

55

#### (continued)

	Film Swell Ratios (7 days)		
Polymer:	30	29	
Additive (wt. %)	Comparatives		
PAGE 3%	dissolve	5.0	
PAGE 15%	dissolve	5.2	
Raw Linseed Oil 10%	dissolve	3.7	
<sup>20</sup> polyallyglycidylether, Santolink XI-100; Monsanto.			

#### **EXAMPLE 23**

5

10

15

20

25

30

35

45

50

55

Polymer 31 was prepared from a monomer mixture that contained 253.8 grams of water, 9.0 grams of a 23% solution of sodium dodecyl benzene sulfonate, 531.3 grams of butyl acrylate, 270.0 grams of methyl methacrylate, 12.9 grams of methacrylic acid, 42.9 grams of acetoacetoxyethyl methacrylate, 1.71 grams of n-dodecyl mercaptan. From this monomer emulsion mixture, 20 grams was removed and added to a kettle containing a mixture of 703.4 grams of water and 5.5 grams of a 23% solution of sodium dodecyl benzene sulfonate heated to 85°C. An initiator charge of 2.52 grams of sodium persulfate dissolved in 42.0 grams of water was added.

Ten minutes later, the remaining monomer emulsion was gradually added over a two hour period. After the two hour period, the emulsion was cooled to 60°C at which point 0.4 gram of t-butyl hydroperoxide dissolved in 8.0 grams of water was added followed by 0.5 grams of sodium formaldehyde sulfoxylate dissolved in 8.0 grams of water. The latex was then cooled to ambient temperature.

Polymer 32 was prepared from a monomer mixture that contained 106.8 grams of water, 3.8 grams of a 23% solution of sodium dodecyl benzene sulfonate, 198.5 grams of butyl acrylate, 84.8 grams of methyl methacrylate, 5.4 grams of methacrylic acid, 72.2 grams of acetoacetoxyethyl methacrylate, 0.72 grams of n-dodecyl mercaptan.

From this monomer emulsion mixture, 20 grams was removed and added to a kettle containing a mixture of 296.2 grams of water and 2.3 grams of a 23% solution of sodium dodecyl benzene sulfonate heated to 85°C.

An initiator charge of 1.06 grams of sodium persulfate dissolved in 17.7 grams of water was added. Ten minutes later, the remaining monomer emulsion was gradually added over a two hour period. After the two hour period, the emulsion was cooled to 60°C at which point 0.17 gram of t-butyl hydroperoxide dissolved in 3.37 grams of water was added followed by 0.21 grams of sodium formaldehyde bisulfite dissolved in 3.37 grams of water.

The latex was then cooled to ambient temperature.

To 50 grams of the latex (neutralized to pH 9.5 with ammonium hydroxide) were added 0.5 grams of Triton X-405 (a 70% aqueous solution; Union Carbide Corp.), 2.2 grams of diisopropyladipate, 2.2 grams of propylene glycol, 1.25 grams of a 2% aqueous solution of Natrosol 250 MHR (hydroxyethylcellu-lose thickener), and ammonium hydroxide to raise the pH to 9.0. The materials listed in the table were also added: 0.2 grams of cobalt (Intercar, a 6% water dispersible formulation; Akzo) and 0.68 grams of linoleic acid and the formulated mixtures were equilibrated overnight. Films were applied to glass panels using a 15 mil gate, air dried under ambient conditions for one hour, then baked in a 150°C oven for the differing times listed in the Table below.

From the results, it can be seen that film CN demonstrates the rapid achievement of cure in a heat accelerated experiment, whereas the Comparative films CK, CL and CM developed cure more slowly.

Film	Polymer	Additives	MEK Rubs			
			0 min <sup>21</sup>	5 min	10 min	20 min
СК	31	None	3	3	5	5
CL	31	Cobalt	3	7	32	19
СМ	32	Cobalt	5		85	>100
CN	32	Cobalt/Linoleic Acid	5	>100	>100	>100

21 films heated at 150°C for the times indicated.

#### **EXAMPLE 24**

Polymer 33 was prepared from a monomer mixture containing 346.6 grams of acetoacetoxyethylmethacry-late and 187.9 grams of 2-hydroxyethyl acrylate. This was added over a two hour period to a kettle containing 232.1 grams of butyl cellosolve heated to 105°C along with 7.2 grams of t-butyl peroctoate dissolved in 7.0 grams of butyl cellosolve.

After two hours, 0.72 grams of t-butyl peroctoate was added and the heating continued for thirty minutes. Another 0.72 grams of t-butyl peroctoate was added and the heating continued for thirty minutes before the polymer solution was cooled.

To 10.7 grams of the polymer was added 1.15 grams of a 28% solution of ammonium hydroxide and the unsaturated fatty amine listed in the table. This mixture was diluted with water to provide a 32% solids solution. This was poured into a petri dish and cured under ambient conditions.

Film	Additional Additives	Swell Ratio 14 days
CO	None	dissolves
CP	Soya oil primary amine <sup>23</sup> (0.57 grams)	2.8
CQ	Tall oil primary amine <sup>24</sup> (0.60 grams)	2.4

<sup>&</sup>lt;sup>23</sup>Adogen 115D; Sherex Chemical Co.

Films CP and CQ demonstrate cure of a water dispersible solution polymer according to the invention.

#### **EXAMPLE 25**

5

10

15

20

25

30

35

40

45

50

55

An alkali soluble polymer was prepared from a monomer mixture that contained 726.9 grams of water, 32.1 grams of sodium lauryl sulfate (28% aqueous solution), 810 grams of butyl acrylate, 360 grams of methacrylic acid, 630 grams of acetoacetoxyethyl methacrylate, 54 grams of n-dodecyl mercaptan.

From this monomer emulsion mixture, 80 grams was removed and added to a kettle containing a mixture of 1153.7 grams of water, 9.0 grams of sodium acetate and 64.3 grams of sodium lauryl sulfate (28% aqueous solution) heated to 82°C. An initiator charge of 3.60 grams of sodium persulfate dissolved in 50.0 grams of water was added. Starting ten minutes later, the remaining monomer emulsion was gradually added over a three hour period along with 2.70 grams of sodium persulfate dissolved in 50 grams of water in a separate feed.

After the three hour period, the emulsion was cooled to 55°C and chased by adding 5.0 grams of a 0.15% aqueous solution of iron (II) sulfate and 1.0 gram of t-butyl hydroperoxide dissolved in 10.0 grams of water followed by 0.5 grams of isoascorbic acid dissolved in 20 grams of water. After 20 minutes, 15.0 grams of 10% hydrogen peroxide in 10.0 grams of water was added. This was repeated 20 minutes later.

The latex was then cooled to ambient temperature.

The latex (Polymerl) was first neutralized with ammonia to pH=9.5 (acetoacetate all converted to the corresponding enamine). Then, to 100 grams of the latex was added the alkali soluble resin in the amounts listed in the Table and the pH was readjusted after 24 hours with ammonia to pH=9.5. This polymer blend was then added to a mixture consisting of 4.1 grams of propylene glycol, 4.1 grams of diisopropyladipate, 0.29 grams of Triton X-405 (a 70% aqueous solution of polyethoxylated nonylphenol, Union Carbide Corp.), 5.11 grams of a 2% aqueous solution of Natrosol 250 MHR (hydroxyethylcellulose; Hercules Inc.), 0.68 grams of cobalt (Intercar, a 6% water dispersible solution; Akzo), 0.12 grams of methyl ethyl ketone oxime, 1.23 grams of linoleic acid and 0.02 grams of Foamaster AP (defoamer; Diamond Shamrock Chemical Co).

This formulated mixture was equilibrated overnight prior to film application as illustrated in Example 1.

Film	Alkali Soluble Resin wt. %	Swell Ratio	MEK Rubs	
CR	0	3.4	55	
cs	3	3.3	115	
СТ	6	3.5	150	
CU	, 10	3.8	215	

The results demonstrate that a blend of a latex polymer and an alkali soluble polymer blend can be cured according to the invention.

#### **EXAMPLE 26**

A polymer was prepared from a monomer mixture that contained 509.2 grams of water, 23.1 grams of Alipal CO-436 (an ammonium salt of sulfated nonylphenoxypoly (ethyleneoxy) ethanol; GAF Corp.), 23.5 grams of methacrylic acid, 1544.0 grams of acetoacetoxyethyl methacrylate, 3.1 grams of n-dodecyl mercaptan.

<sup>&</sup>lt;sup>24</sup>Adogen 151; Sherex Chemical Co.

From this monomer emulsion mixture, 49.1 grams was removed and added to a kettle containing a mixture of 1274.7 grams of water and 3.89 grams of Alipal CO-436 heated to 85°C. An initiator charge of 2.36 grams of sodium persulfate dissolved in 78.8 grams of water was added. Starting ten minutes later, the remaining monomer emulsion was gradually added over a two hour period along with 1.4 grams of sodium persulfate dissolved in 50 grams of water in a separate feed.

After the two hour period, the emulsion was cooled to 60°C and chased by adding 1.0 gram of t-butyl hydroperoxide dissolved in 13.1 grams of water followed by 0.5 grams of isoascorbic acid dissolved in 13.1 grams of water. The latex was then cooled to ambient temperature.

The polymer was neutralized and equilibrated to pH=9.5 with ammonia and the solid content of the solution was reduced to 25 wt. %.

The additives listed in the Table were then added to 16 grams aliquots, mixed, and poured into petri dishes and cured at ambient temperatures.

	Additive	Amount	Swell Ratio 7 days
cv	None		11.4
cw	Cobalt (Intercar)	0.07 grams	11.3
cx	Cobalt (Intercar) + Linoleic acid	0.07 grams 0.24 grams	7.6

Film CX demonstrates the use of the invention in very high AAEM content polymer (98.5%).

#### Claims

- A self-crosslinking film-forming composition comprising a vinyl polymer containing pendant acetoacetate functionality and a polyunsaturated material, optionally incorporated into said vinyl polymer, which generates a free radical flux on exposure to oxygen such as oxygen in the air.
- A composition according to claim 1 wherein the vinyl polymer containing pendant acetoacetate functionality is soluble in water or water-co-solvent mixtures.
  - 3. A composition according to claim 1 or 2 wherein the vinyl polymer containing pendant acetoacetate functionality is a solution polymer in non-aqueous organic solvent.
  - 4. A composition according to any preceding claim wherein the vinyl polymer containing pendant acetoacetate functionality is an emulsion or dispersion polymer.
  - 5. A composition according to any preceding claim wherein the pendant acetoacetate is incorporated in the vinyl polymer by polymerization of a monomer selected from the group consisting of allyl acetoacetate, acetoacetoxyethyl methacrylate, acetoacetoxypropyl methacrylate, and acetoacetoxybutyl methacrylate.
  - A composition according to claim 5 wherein the vinyl polymer contains from about 0.5 to 100 percent of the acctoacetate monomer by weight.
  - 7. A composition according to any preceding claim wherein the vinyl polymer is a homopolymer or copolymer of acetoacetoxyethyl methacrylate or acrylate.
  - 8. A composition according to any preceding claim wherein the vinyl acetoacetate polymer is a blend of a water soluble acetoacetate polymer and an emulsion or dispersion acetoacetate polymer.
  - 9. A composition according to any preceding claim wherein the composition further comprises a metal drier.
  - 10. A composition according to claim 9 wherein the metal drier is incorporated at 0.01 to 1% metal content by weight.
  - 11. A composition according to claim 9 or claim 10 wherein the metal drier is a cobalt salt.
  - 12. A composition according to any one of claims 9 to 11 wherein the metal drier is complexed to a complexing agent

5

10

25

20

35

45

40

55

that prevents substantial reaction in the substantial absence of oxygen but does not prevent reaction in films.

- 13. A composition according to any preceding claim wherein substantially all of the pendant acetoacetate functionality has been converted to or is present as pendant enamine functionality.
- 14. A composition according to claim 13 wherein the pendant acetoacetate functionality has been converted to pendant enamine functionality by reaction with a molar excess of ammonia or a primary amine capable of enamine formation.
- 15. A composition according to claim 14 wherein the primary amine is selected from the group consisting of ethanolamine, methyl amine, and isopropylamine, including mixtures thereof.
  - 16. A composition according to any one of the preceding claims wherein the polyunsaturated material is selected from the group consisting of unsaturated fatty acids, drying oils, alkyds containing drying oils, esters of unsaturated fatty acids, allyl ether functional materials, allyl functional materials, alkyd functional soaps, isoprenes, butadiene, crotyl methacrylate, allyl methacrylate and polyallyl ethers, including mixtures thereof.
  - 17. A composition according to any one of the preceding claims wherein the polyunsaturated material is incorporated into the vinyl polymer.
  - 18. A one part pack comprising a composition according to any one of the preceding claims stored in a sealed container in the substantial absence of oxygen.

# 25 Patentansprüche

5

15

20

30

- Selbstvernetzende filmbildende Zusammensetzung, umfassend ein Vinylpolymer, welches seitenständige Acetoacetat-Funktionalität enthält, und ein, ggf. in das Vinylpolymer inkorporiertes, mehrfach ungesättigtes Material, welches beim Aussetzen an Sauerstoff, wie Sauerstoff der Luft, einen Fluß freier Radikale erzeugt.
- 2. Zusammensetzung nach Anspruch 1, wobei das Vinylpolymer, welches seitenständige Acetoacetat-Funktionalität enthält, in Wasser oder Wasser/Hilfslösungsmittel-Gemischen löslich ist.
- Zusammensetzung nach Anspruch 1 oder 2, wobei das Vinylpolymer, welches seitenständige Acetoacetat-Funk tionalität enthält, eine Lösung Polymer in nicht wässrigem organischen Lösungsmittel ist.
  - 4. Zusammensetzung nach einem der vorhergehenden Ansprüche, wobei das Vinylpolymer, welches seitenständige Acetoacetat-Funktionalität enthält, ein Emulsions- oder Dispersionspolymer ist.
- 5. Zusammensetzung nach einem der vorhergehenden Ansprüche, wobei das seitenständige Acetoacetat in das Vinylpolymer durch Polymerisation eines Monomers, ausgewählt aus der Gruppe Allylacetoacetat, Acetoacetoxyethylmethacrylat, Acetoacetoxypropylmethacrylat, und Acetoacetoxybutylmethacrylat, inkorporiert ist.
- 6. Zusammensetzung nach Anspruch 5, wobei das Vinylpolymer von etwa 0,5 bis 100% des Acetoacetatmonomers, bezogen auf das Gewicht, enthält.
  - Zusammensetzung nach einem der vorhergehenden Ansprüche, wobei das Vinylpolymer ein Homopolymer oder Copolymer von Acetoacetoxyethylmethacrylat oder -acrylat ist.
- Zusammensetzung nach einem der vorhergehenden Ansprüche, wobei das Vinylacetoacetatpolymer ein Gemisch aus einem wasserlöslichen Acetoacetatpolymer und einem Emulsions- oder Dispersionsacetoacetatpolymer ist.
  - Zusammensetzung nach einem der vorhergehenden Ansprüche, wobei die Zusammensetzung weiterhin einen Metalltrockner umfaßt.
  - Zusammensetzung nach Anspruch 9, wobei der Metalltrockner zu 0,01 bis 1% Metallgehalt, bezogen auf das Gewicht, inkorporiert ist.

- Composition selon l'une quelconque des revendications précédentes, dans laquelle la composition comprend en outre un siccatif métallique.
- Composition selon la revendication 9, dans laquelle le siccatif métallique est incorporé à raison de 0,01 à 1% en poids exprimé en métal.

5

15

20

25

35

40

45

50

55

- 11. Composition selon la revendication 9 ou la revendication 10, dans laquelle le siccatif métallique est un sel de cobalt.
- 12. Composition selon l'une quelconque des revendications 9 à 11, dans laquelle le siccatif métallique est complexé à un agent complexant qui empêche une réaction importante en l'absence essentielle d'oxygène mais n'empêche pas la réaction dans des films.
  - 13. Composition selon l'une quelconque des revendications précédentes, dans laquelle pratiquement toute la fonctionnalité acétoacétate pendante a été convertie en ou est présente sous forme de fonctionnalité énamine pendante.
  - 14. Composition selon la revendication 13, dans laquelle la fonctionnalité acétoacétate pendante a été convertie en fonctionnalité énamine pendante par réaction avec un excès molaire d'ammoniac ou d'une amine primaire capable de former une énamine.
  - 15. Composition selon la revendication 14, dans laquelle l'amine primaire est choisie dans le groupe formé par l'éthanolamine, la méthylamine et l'isopropylamine, y compris leurs mélanges.
  - 16. Composition selon l'une quelconque des revendications précédentes, dans laquelle la matière polyinsaturée est choisie dans le groupe constitué des acides gras insaturés, des huiles siccatives, des huiles siccatives contenant des alkydes, des esters des acides gras insaturés, des matières à fonctionnalité éther allylique, des matières à fonctionnalité allylique, des savons à fonctionnalité alkyde, des isoprènes, du butadiène, du méthacrylate de crotyle, du méthacrylate d'allyle, et des éthers polyallyliques, y compris leurs mélanges.
- 30 17. Composition selon l'une quelconque des revendications précédentes dans laquelle la matière polyinsaturée est incorporée dans le polymère vinylique.
  - 18. Emballage en une partie comprenant une composition selon l'une quelconque des revendications précédentes dans un récipient étanche en l'absence essentielle d'oxygène.